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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Lucio Giambattista et al.

**Serial No.:** 10/072,691

**Attny No.:** 64,149-162

**Filed :** February 7, 2002

**Title :** PEN NEEDLE AND SAFETY SHIELD SYSTEM :

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO-1449. Copies of the listed items are enclosed.

None of these items discloses the inventive concepts claimed in the subject application.

Should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789. A duplicate of this paper is enclosed.

**Respectfully submitted,**

**HOWARD & HOWARD ATTORNEYS, P.C.**

8/26/02  
Date

  
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Enclosures:

PTO-1449 w/ 27 Cited References

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**CERTIFICATE OF MAILING**

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the U.S. Patent and Trademark Office, Washington, D.C. 20231 on **August 26, 2002**.

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